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**Thermally-transferable fluorescent compounds.**

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**DE-A- 3 606 757**  
**CHEMICAL ABSTRACTS, vol. 107, no. 3, 20th**  
**July 1987, page 531, abstract no. 31283b, Col-**  
**umbus, Ohio, US; Y. IDE: "Fluorescent ther-**  
**mal-transfer materials".**

Proprietor : **EASTMAN KODAK COMPANY**  
**343 State Street**  
**Rochester, New York 14650-2201 (US)**

Inventor : **Byers, Gary Wayne c/o Eastman**  
**Kodak Company**  
**Patent Department 343 State Street**  
**Rochester New York 14650 (US)**  
Inventor : **Henzel, Richard Paul c/o Eastman**  
**Kodak Company**  
**Patent Department 343 State Street**  
**Rochester New York 14650 (US)**

Representative : **Brandes, Jürgen, Dr.rer.nat.**  
**et al**  
**Schweigerstrasse 2**  
**W-8000 München 90 (DE)**

**EP 0 373 572 B1**

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## Description

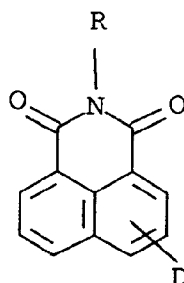
This invention relates to fluorescent donor elements used in thermal transfer.

In recent years, thermal transfer systems have been developed to obtain prints from pictures which have been generated electronically from a color video camera. According to one way of obtaining such prints, an electronic picture is first subjected to color separation by color filters. The respective color-separated images are then converted into electrical signals. These signals are then operated on to produce cyan, magenta and yellow electrical signals. These signals are then transmitted to a thermal printer. To obtain the print, a cyan, magenta or yellow dye-donor element is placed face-to-face with a dye-receiving element. The two are then inserted between a thermal printing head and a platen roller. A line-type thermal printing head is used to apply heat from the back of the dye-donor sheet. The thermal printing head has many heating elements and is heated up sequentially in response to the cyan, magenta and yellow signals. The process is then repeated for the other two colors. A color hard copy is thus obtained which corresponds to the original picture viewed on a screen. Further details of this process and an apparatus for carrying it out are contained in U.S. Patent No. 4,621,271.

The system described above has been used to obtain visible dye images. However, for security purposes, to inhibit forgeries or duplication, or to encode confidential information, it would be advantageous to create non-visual ultraviolet absorbing images that fluoresce with visible emission when illuminated with ultraviolet light.

U.S. Patent 4,627,997 discloses a fluorescent thermal transfer recording medium comprising a thermally-meltable, wax ink layer. In that system, the fluorescent material is transferred along with the wax material when it is melted. Wax transfer systems, however, are incapable of providing a continuous tone. Further, the fluorescent materials of that reference are incapable of diffusing by themselves in the absence of the wax matrix. It is an object of this invention to provide fluorescent materials useful in a continuous tone system which have sufficient vapor pressure to transfer or diffuse by themselves from a donor element to a dye-receiver.

These and other objects are achieved in accordance with this invention which comprises a donor element for thermal transfer comprising a support having on one side thereof a fluorescent derivative of a 1,8-naphthalimide compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, said 1,8-naphthalimide having the formula:



wherein:

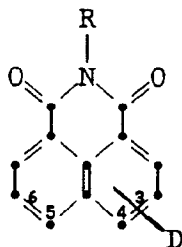
R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms, such as methyl, ethyl, methoxyethyl, etc.; or a carbocyclic or heterocyclic ring of 5 to 10 atoms, such as methyl, ethyl, isopropyl, methoxyethyl, benzyl, phenyl, and 2-pyridyl; and

D is a monovalent, nonionic, nonquenching moiety such as methoxy, ethoxy, isopropoxy, chloro, amino, N-methylamino, N,N-dimethylamino, and N-ethylamino.

The term "nonquenching" as used herein is meant to indicate that the moiety does not inhibit the inherent fluorescence of the compound.

In a preferred embodiment of the invention, R is hydrogen, methyl or ethyl. In another preferred embodiment of the invention, D is methoxy, chloro or amino.

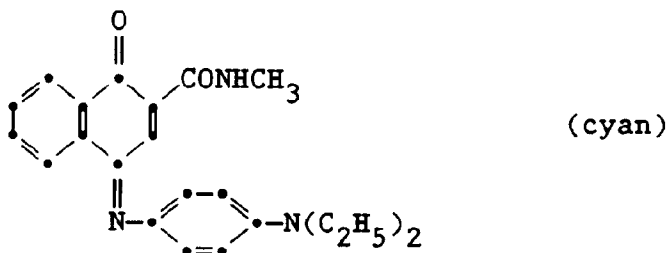
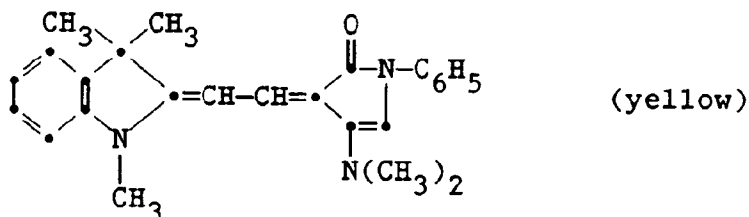
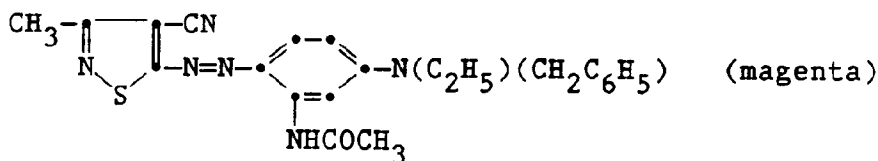
Compounds included within the scope of the invention include the following:



Compound	R	D
1	CH <sub>3</sub>	4-OCH <sub>3</sub>
2	C <sub>2</sub> H <sub>5</sub>	4,5-C1
3	C <sub>2</sub> H <sub>5</sub>	3-NH <sub>2</sub>
4	H	4-NH <sub>2</sub>
5	n-C <sub>4</sub> H <sub>9</sub>	4(-OCH <sub>3</sub> )
6	C <sub>6</sub> H <sub>5</sub>	4-(OCH <sub>3</sub> )
7	H	4-(OCH <sub>3</sub> )
8	CH <sub>2</sub> CH <sub>2</sub> OCH <sub>3</sub>	4-(-OCH <sub>3</sub> )
9		4-(-OCH <sub>3</sub> )
10		4(-OC <sub>2</sub> H <sub>5</sub> )
11	CH <sub>3</sub>	4,5-(OCH <sub>3</sub> )
12	C <sub>2</sub> H <sub>5</sub>	4-(N(CH <sub>3</sub> ) <sub>2</sub> )
13	C <sub>2</sub> H <sub>5</sub>	4-(OC <sub>2</sub> H <sub>5</sub> ), 5-C1

The above compounds may be prepared by dehydration of the appropriate 1,8-naphthalene dicarboxylic acid with a primary amine.

A visible dye can also be used in a separate area of the donor element of the invention provided it is transferable to the dye-receiving layer by the action of heat. Especially good results have been obtained with sublimable dyes such as



or any of the dyes disclosed in U.S. Patent 4,541,830. The above dyes may be employed singly or in combination to obtain a monochrome. The dyes may be used at a coverage of from 0.05 to 1 g/m<sup>2</sup> and are preferably hydrophobic.

The fluorescent material in the donor element of the invention is dispersed in a polymeric binder such as a cellulose derivative, e.g., cellulose acetate hydrogen phthalate, cellulose acetate, cellulose acetate propionate, cellulose acetate butyrate, cellulose triacetate; a polycarbonate; poly(styrene-co-acrylonitrile), a poly(sulfone) or a poly(phenylene oxide). The binder may be used at a coverage of from 0.1 to 5 g/m<sup>2</sup>.

The fluorescent material layer of the donor element may be coated on the support or printed thereon by a printing technique such as a gravure process.

Any material can be used as the support for the donor element of the invention provided it is dimensionally stable and can withstand the heat of the thermal printing heads. Such materials include polyesters such as poly(ethylene terephthalate); polyamides; polycarbonates; glassine paper; condenser paper; cellulose esters; fluorine polymers; polyethers; polyacetals; polyolefins; and polyimides. The support generally has a thickness of from 2 to 30 μm. It may also be coated with a subbing layer, if desired.

The reverse side of the donor element is coated with a slipping layer to prevent the printing head from sticking to the donor element. Such a slipping layer would comprise a lubricating material such as a surface active agent, a liquid lubricant, a solid lubricant or mixtures thereof, with or without a polymeric binder. Preferred lubricating materials include oils or semi-crystalline organic solids that melt below 100°C such as poly(vinyl stearate), beeswax, perfluorinated alkyl ester polyethers, poly(caprolactone), silicone oil, poly(tetrafluoroethylene), carbowax, poly(ethylene glycols), or any of those materials disclosed in U. S. Patents 4,717,711; 4,737,485; 4,738,950; and 4,717,712. Suitable polymeric binders for the slipping layer include poly(vinyl alcohol-co-butyral), poly(vinyl alcohol-co-acetal), poly(styrene), poly(vinyl acetate), cellulose acetate butyrate, cellulose acetate propionate, cellulose acetate or ethyl cellulose.

The amount of the lubricating material to be used in the slipping layer depends largely on the type of lubricating material, but is generally in the range of .001 to 2 g/m<sup>2</sup>. If a polymeric binder is employed, the lubricating material is present in the range of 0.1 to 50 weight %, preferably 0.5 to 40, of the polymeric binder employed.

The receiving element that is used with the donor element of the invention usually comprises a support having thereon an image-receiving layer. The support may be a transparent film such as a poly(ether sulfone), a polyimide, a cellulose ester such as cellulose acetate, a poly(vinyl alcohol-co-acetal) or a poly(ethylene terephthalate). The support for the receiving element may also be reflective such as baryta-coated paper, polyethylene-coated paper, white polyester (polyester with white pigment incorporated therein), an ivory paper, a condenser paper or a synthetic paper such as duPont Tyvek®.

The image-receiving layer may comprise, for example, a polycarbonate, a polyurethane, a polyester, polyvinyl chloride, poly(styrene-co-acrylonitrile), poly(caprolactone) or mixtures thereof. The image-receiving layer may be present in any amount which is effective for the intended purpose. In general, good results have been obtained at a concentration of from 1 to 5 g/m<sup>2</sup>.

As noted above, the donor elements of the invention are used to form a transfer image. Such a process comprises imagewise-heating a donor element as described above and transferring a fluorescent material image to a receiving element to form the transfer image.

The donor element of the invention may be used in sheet form or in a continuous roll or ribbon. If a continuous roll or ribbon is employed, it may have only the fluorescent derivative of 1,8-naphthalimide thereon as described above or may have alternating areas of different dyes, such as sublimable magenta and/or yellow and/or cyan and/or black or other dyes. Such dyes are disclosed in U. S. Patents 4,541,830, 4,698,651, 4,695,287, 4,701,439, 4,757,046, 4,743,582, and 4,753,922. Thus, one-, two-, three- or four-color elements (or higher numbers also) are included within the scope of the invention.

In a preferred embodiment of the invention, the donor element comprises a poly(ethylene terephthalate) support coated with sequential repeating areas of magenta, yellow, and cyan dye and the fluorescent material as described above, and the above process steps are sequentially performed for each color to obtain a three-color dye transfer image containing a fluorescent image.

Thermal printing heads which can be used to transfer fluorescent material and dye from the donor elements of the invention are available commercially. There can be employed, for example, a Fujitsu Thermal Head (FTP-040 MCS001), a TDK Thermal Head F415 HH7-1089 or a Rohm Thermal Head KE 2008-F3.

A thermal transfer assemblage of the invention comprises

- a) a donor element as described above, and
- b) a receiving element as described above, the receiving element being in a superposed relationship with the donor element so that the fluorescent material layer of the donor element is in contact with the image-receiving layer of the receiving element.

The following example is provided to illustrate the invention.

#### Example

A donor element was prepared by coating the following layers in the order recited on a 6 µm poly(ethylene terephthalate) support:

- 1) a subbing layer of duPont Tyzor TBT® titanium tetra-n-butoxide (0.16 g/m<sup>2</sup>) from 1-butanol; and
- 2) a layer containing the fluorescent material as identified above or control fluorescent material identified below (0.16 g/m<sup>2</sup>) in a cellulose acetate propionate (2.5% acetyl and 45% propionyl) binder (0.32 g/m<sup>2</sup>) coated from a cyclopentanone, toluene and methanol solvent mixture. Where solubility limits were exceeded, excess solid was filtered off before coating.

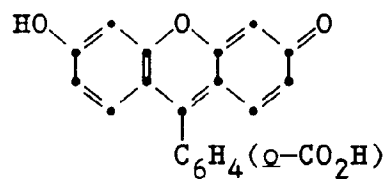
On the back side of the element was coated:

- 1) a subbing layer of Bostik 7650® (Emhart Corp.) polyester (0.11 g/m<sup>2</sup>) coated from toluene; and
- 2) a slipping layer of Gafac RA-600® (GAF Corp.) polyoxyethylene partial phosphate ester (0.043 g/m<sup>2</sup>) and BYK-320® (BYK Chemie, USA) polyoxyalkylene methylalkyl siloxane copolymer (0.016 g/m<sup>2</sup>) in a poly(styrene-co-acrylonitrile) binder (70:30 wt. ratio) (0.54 g/m<sup>2</sup>) coated from a toluene and 3-pentanone solvent mixture.

#### Control Materials

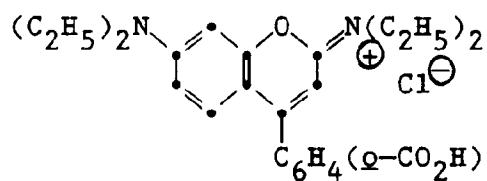
The following materials are available commercially from Kodak Laboratory Products and Chemicals Division:

Control 1



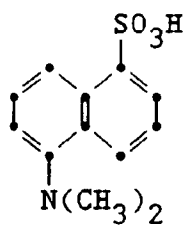
Fluorescein

Control 2



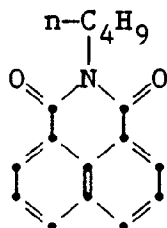
Rhodamine B

Control 3



DANS Acid

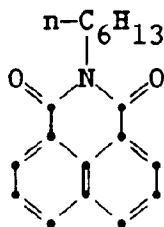
Control 4



## Control 5

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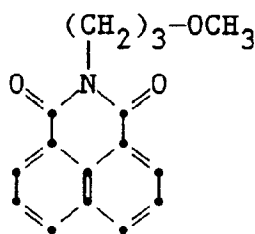


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## Control 6

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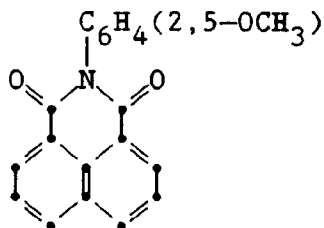
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## Control 7

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A receiving element was prepared by coating a solution of Makrolon 5705® (Bayer A.G. Corporation) polycarbonate resin (2.9 g/m<sup>2</sup>) in a methylene chloride and trichloroethylene solvent mixture on a transparent 175 µm polyethylene terephthalate support.

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The fluorescent material layer side of the donor element strip approximately 3 cm x 15 cm in area was placed in contact with the image-receiving layer of the receiver element of the same area. The assemblage was fastened in the jaws of a stepper motor driven pulling device. The assemblage was laid on top of a 14 mm diameter rubber roller and TDK Thermal Head L-133 (No. 6-2R16-1) and was pressed with a spring at a force of 3.6 kg against the donor element side of the assemblage pushing it against the rubber roller.

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The imaging electronics were activated causing the pulling device to draw the assemblage between the printing head and roller at 3.1 mm/sec. Coincidentally, the resistive elements in the thermal print head were pulsed at a per pixel pulse width of 8 msec to generate a maximum density image. The voltage supplied to the print head was approximately 21 v representing approximately 1.6 watts/dot (12 mjoules/dot) for maximum power.

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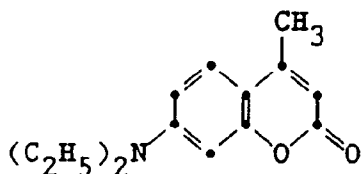
The receiving element was separated from the donor element and the relative emission of the transferred image was evaluated with a spectrofluorimeter using a fixed intensity 360 nm excitation beam and measuring the relative emission. The following results were obtained:

Table

<u>Compound</u>	<u>Relative Emission*</u>	<u>Visual Color</u>
None	**	Not visible
Comparison*	100	Blue
Control 1	**	Not visible
Control 2	**	Not visible
Control 3	**	Not visible
Control 4	**	Not visible
Control 5	**	Not visible
Control 6	**	Not visible
Control 7	**	Not visible
1	77	Blue
2	18	Blue
3	6	Green
4	4	Green-Yellow

\*\* Not determinable.

\* Compared to the following compound, normalized to 100:



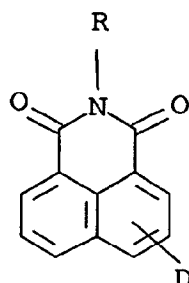
This compound is the subject of EP-A-356 981 filed on 29.8.89 claiming a priority date of 31.8.88 and published on 7.3.90 and entitled "Thermally-Transferable Fluorescent 7-Aminocoumarins".

The above results show that the compounds of the invention have much more fluorescence than the control compounds of the prior art.

### Claims

1. A donor element for thermal transfer comprising a support having on one side thereof a fluorescent derivative of a 1,8-naphthalimide compound dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, said 1,8-naphthalimide compound having the formula:

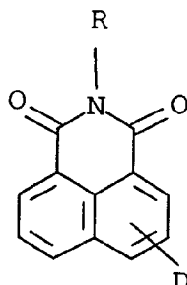




wherein:

R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atom, or a carbocyclic or heterocyclic ring of 5 to 10 atom; and D is methoxy, ethoxy, isopropoxy, chloro, amino, N-methylamino, N,N-dimethylamino, or N-ethylamino.

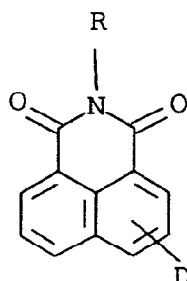
2. The element of Claim 1 characterized in that R is hydrogen, methyl or ethyl.
3. The element of Claim 2 characterized in that D is methoxy, chloro or amino.
4. The element of Claim 1 characterized in that said donor element comprises sequential repeating areas of magenta, yellow and cyan dye, and said fluorescent compound.
5. A process of forming a transfer image comprising imagewise-heating a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and transferring an image to a receiving element to form said transfer image, characterized in that said material is a fluorescent derivative of a 1,8-naphthalimide compound, said 1,8-naphthalimide compound having the formula:



wherein:

R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms, or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and D is methoxy, ethoxy, isopropoxy, chloro, amino, N-methylamino, N,N-dimethylamino, or N-ethylamino.

6. A thermal transfer assemblage comprising:
  - a) a donor element comprising a support having on one side thereof a layer comprising a material dispersed in a polymeric binder, and on the other side thereof a slipping layer comprising a lubricant, and
  - b) a receiving element comprising a support having thereon an image-receiving layer,
 said receiving element being in a superposed relationship with said donor element so that said material layer is in contact with said image-receiving layer, characterized in that said material is a fluorescent derivative of a 1,8-naphthalimide compound, said 1,8-naphthalimide compound having the formula:

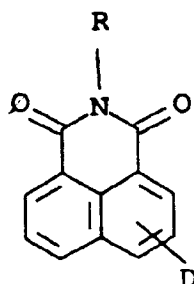


wherein:

R is hydrogen; a substituted or unsubstituted alkyl group of 1 to 6 carbon atoms, or a carbocyclic or heterocyclic ring of 5 to 10 atoms; and D is methoxy, ethoxy, isopropoxy, chloro, amino, N-methylamino, N,N-dimethylamino, or N-ethylamino.

### Patentansprüche

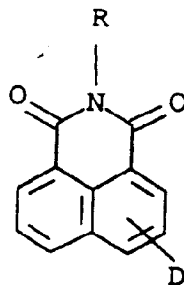
1. Donor-Element für die thermische Übertragung mit einem Träger, auf dessen einer Seite sich ein fluoreszierendes Derivat einer 1,8-Naphthalimid-Verbindung, dispergiert in einem polymeren Bindemittel, befindet, und auf dessen anderer Seite eine Gleitschicht mit einem Gleitmittel angeordnet ist, wobei die 1,8-Naphthalimid-Verbindung der folgenden Formel entspricht:



worin bedeuten:

R gleich Wasserstoff; eine substituierte oder unsubstituierte Alkylgruppe mit 1 bis 6 Kohlenstoffatomen oder ein carbocyclischer oder heterocyclischer Ring von 5 bis 10 Atomen; und D gleich Methoxy, Ethoxy, Isopropoxy, Chloro, Amino, N-Methylamino, N,N-Dimethylamino oder N-Ethylamino ist.

2. Element nach Anspruch 1, dadurch gekennzeichnet, daß R gleich Wasserstoff, Methyl oder Ethyl ist.
3. Element nach Anspruch 2, dadurch gekennzeichnet, daß D gleich Methoxy, Chloro oder Amino ist.
4. Element nach Anspruch 1, dadurch gekennzeichnet, daß es nacheinander wiederkehrende Bereiche von purpurrotem, gelbem und blaugrünem Farbstoff und der fluoreszierenden Verbindung enthält.
5. Verfahren zur Herstellung eines Übertragungsbildes durch bildweises Erhitzen eines Donor-Elementes mit einem Träger, auf dessen einer Seite sich eine Schicht mit einem Material befindet, das in einem polymeren Bindemittel dispergiert ist, und auf dessen anderer Seite eine Gleitschicht mit einem Gleitmittel angeordnet ist, und Übertragung eines Bildes auf ein Bildempfangs-Element unter Erzeugung des Übertragungsbildes, dadurch gekennzeichnet, daß das Material ein fluoreszierendes Derivat einer 1,8-Naphthalimid-Verbindung ist, wobei die 1,8-Naphthalimid-Verbindung der folgenden Formel entspricht:

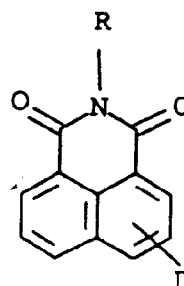


worin bedeuten:

R gleich Wasserstoff; eine substituierte oder unsubstituierte Alkylgruppe mit 1 bis 6 Kohlenstoffatomen oder ein carbocyclischer oder heterocyclischer Ring von 5 bis 10 Atomen; und D gleich Methoxy, Ethoxy, Isopropoxy, Chloro, Amino, N-Methylamino, N,N-Dimethylamino oder N-Ethylamino ist.

6. Satz für die thermische Übertragung mit:

- a) einem Donor-Element mit einem Träger, auf dessen einer Seite sich eine Schicht mit einem in einem polymeren Bindemittel dispergierten Material befindet und auf dessen anderer Seite eine Gleitschicht mit einem Gleitmittel angeordnet ist und
- b) einem Empfangs-Element mit einem Träger, auf dem sich eine Bild-Empfangsschicht befindet, wobei das Empfangs-Element in einer solchen Position bezüglich des Donor-Elementes angeordnet ist, daß die Materialschicht sich in Kontakt mit der Bild-Empfangsschicht befindet, dadurch gekennzeichnet, daß das Material ein fluoreszierendes Derivat einer 1,8-Naphthalimid-Verbindung ist, wobei die 1,8-Naphthalimid-Verbindung der folgenden Formel entspricht:

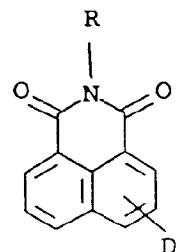


worin bedeuten:

R gleich Wasserstoff; eine substituierte oder unsubstituierte Alkylgruppe mit 1 bis 6 Kohlenstoffatomen oder ein carbocyclischer oder heterocyclischer Ring von 5 bis 10 Atomen; und D gleich Methoxy, Ethoxy, Isopropoxy, Chloro, Amino, N-Methylamino, N,N-Dimethylamino oder N-Ethylamino ist.

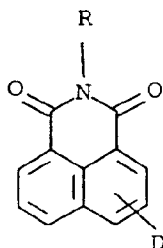
**Revendications**

1. Élément donneur de colorant pour transfert de colorant par la chaleur comprenant un support recouvert sur une de ses faces par un composé fluorescent dérivé de 1,8-naphtalimide dispersé dans un liant polymère et sur l'autre face d'une couche favorisant le glissement comprenant un lubrifiant, le composé 1,8-naphtalimide ayant la formule :



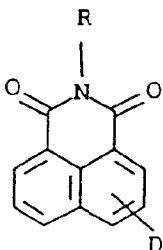
où R est l'hydrogène ; un groupe alkyle substitué ou non de 1 à 6 atomes de carbone, ou un noyau carbocyclique ou hétérocyclique de 5 à 10 atomes ; et D est méthoxy, éthoxy, isopropoxy, chloro, amino, N-méthylamino, N,N-diméthylamino ou N-éthylamino.

2. Élément selon la revendication 1 caractérisé en ce que R est un hydrogène ou un radical méthyle ou éthyle.
3. Élément selon la revendication 2 caractérisé en ce que D est méthoxy, chloro ou amino.
4. Élément selon la revendication 1 caractérisé en ce que l'élément donneur comprend des séquences répétitives de zones de colorants magenta, jaune et cyan et le composé fluorescent.
5. Procédé pour former une image par transfert consistant à chauffer sélectivement un élément donneur comprenant un support recouvert sur une de ses faces par une couche comprenant une substance dispersée dans un liant polymère et sur l'autre face par une couche favorisant le glissement comprenant un lubrifiant, et à transférer l'image sur un élément récepteur pour former l'image par transfert, caractérisé en ce que la substance est un dérivé fluorescent de 1,8-naphtalimide de formule :



où R est l'hydrogène ; un groupe alkyle substitué ou non de 1 à 6 atomes de carbone, ou un noyau carbocyclique ou hétérocyclique de 5 à 10 atomes ; et D est méthoxy, éthoxy, isopropoxy, chloro, amino, N-méthylamino, N,N-diméthylamino ou N-éthylamino.

6. Assemblage pour transfert de colorant par la chaleur comprenant :
  - a) un élément donneur comprenant un support recouvert sur une de ses faces par une couche comprenant une substance dispersée dans un liant polymère et sur l'autre face par une couche favorisant le glissement comprenant un lubrifiant, et
  - b) un élément récepteur comprenant un support recouvert d'une couche réceptrice d'image, l'élément récepteur et l'élément donneur étant superposés de telle sorte que la couche comprenant la substance soit en contact avec la couche réceptrice d'image, caractérisé en ce que la substance est un dérivé fluorescent de 1,8-naphtalimide de formule :



où R est l'hydrogène ; un groupe alkyle substitué ou non de 1 à 6 atomes de carbone, ou un noyau carbocyclique ou hétérocyclique de 5 à 10 atomes ; et D est méthoxy, éthoxy, isopropoxy, chloro, amino, N-méthylamino, N,N-diméthylamino ou N-éthylamino.